α-Aryl-Substituted Allenamides in an Imino-Nazarov Cyclization Cascade Catalyzed by Au(I)

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An imino-Nazarov cyclization using α -aryl-substituted allenamides is described. This gold(I)-catalyzed cascade is efficient and regioselective in constructing a diverse array of synthetically useful aromatic-ring fused cyclopentenamides. The success in this transformation represents a solution to the challenge in establishing an imino-Nazarov cyclization process.

The Nazarov cyclization¹ has captured an immense amount of interest from the synthetic community in the past three decades.^{2,3} This classical pericyclic process

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Scheme 1. Nazarov Cyclizations: Challenge in an Imino Version

Nazarov Cyclizations: Cyclopentenone Synthesis



proceeds through a conrotatory 4π -electron electrocyclic ring closure of a pentadienyl cation via activation of divinyl ketone **1** or its equivalent, leading to a key oxyallyl cation **2** that can afford cyclopentenone **3** or substituted cyclopentanones **4** through trapping [Scheme 1]. Despite a diverse array of innovative structural designs that have been developed,⁴⁻⁶ one aspect that has received very little attention is an imino version of this cascade with the sole exceptions of Tius' seminal work on lithiated imino-Nazarov

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reactions^{7a} and, recently, with enamine-iminium ions.^{7b,c} There are examples in which stabilization of the pentadienyl cation is assisted by the presence of an amino or amide substituent, albeit not a formal imino-Nazarov cyclization. Cha's⁸ earlier work on cephalotaxine synthesis and reports by Occhiato/Prandi,⁹ Frontier,¹⁰ and Flynn¹¹ employing ynamides, and recently by West¹² in a clever usage of allenamides, represent such elegant cases. The challenge in developing a successful imino-Nazarov cyclization is to overcome the propensity of the 2-amino-allyl cation **6** to ring open in favor of aminopentadienyl cation **5**, which receives greater stabilization from the amino nitrogen atom.¹³ We wish to communicate here our solution to this challenge through gold(I) activation of allenamides.

Our long-standing interest in allenamides, $^{14-16}$ and in particular their electrophilic activations, 17,18 led us to explore their potential use in this underdeveloped aspect of the Nazarov cyclization. As shown in Scheme 2, α -vinyl or

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 α -aryl allenamides 7 could be employed in an imino-Nazarov cyclization cascade through Brønsted acid activation.¹⁹ This electrophilic activation could give amido-pentadienyl cation **8**, which would undergo an electrocyclization to afford 2-amido-allyl cation **9**. It is noteworthy that a distinct advantage in achieving a successful imino-Nazarov cyclization is the synthetically useful enamide functionality²⁰ in the end product **10**.

We reasoned that unlike amino-pentadienyl cation 5, the electrocyclization of 7 could be more favored given the reduced ability of an *N*-acyl nitrogen atom to stabilize cations relative to an amino group. Such rationalization would find prevalent support in the related chemistry of vinyl *N*-acyl iminium ions²¹ and *aza*-Prins cyclizations.²² However, after many attempts, the Brønsted acid activation failed because we were unable to control and overtake the hydrolysis pathway

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Table 1. Screening for a Suitable Metal Catalyst



$entry^a$	catalyst	time (h)	$\operatorname{conversion}_{(\%)^b}$	13a (%) ^c	$egin{array}{c} {f 14} + \ {f 15}^d \ (\%)^c \end{array}$
1	$AuCl_3$	30	>95	_	55
2	$AuCl_3/AgSbF_6$	1	>95	_	45
3	AuCl ₃ /AgSbF ₆ ^e	22	48	_	36
4	$AuCl_3/AgSbF_6^f$	40	55^g	_	36
5	AuCl/AgSbF ₆	5	>95	_	48
6	AuCl(PPh ₃)/ AgSbF ₆	60	75^g	50^h	24
7	JohnphosAuCl/ AgSbF ₆	5	>95	91	_
8	IPrAuCl/AgSbF ₆	1	>95	97	_
9	IPrAuCl	21	<5	_	_
10	$\mathrm{AgSbF_6}^i$	20	64	_	24
11	$PtCl_2$	24	<5	_	_
12	$\operatorname{PtCl}_4^{\ i}$	12	>95	_	82^{j}

^{*a*} Unless noted, all reactions were carried out at 0.1 mmol scale in 2 mL of CH_2Cl_2 (concn = 0.05 M) at rt with the addition of 5 mol % catalyst. ^{*b*} Conversion determined by ¹H NMR. ^{*c*} Isolated yields. ^{*d*} 14 and 15 could not be separated by column chromatography. ^{*e*} 20 mL of CH_2Cl_2 were used (concn = 0.005 M). ^{*f*} 35 mg of 4 Å MS were added. ^{*g*} Based on recovered 12a. ^{*h*} Inseparable mixture with 12a. ^{*i*} 10 mol % of catalyst was used. ^{*j*} An inseparable mixture of 14, 15, and unidentified products.

that led to amido ketones **11** through a facile trapping of the initial enone.

Inspired by recent gold catalysis²³ particularly in the area of a Nazarov-type process²⁴ with the report by Zhang,^{19d} we turned our attention to gold catalysts. As shown in Table 1, a trivalent gold catalyst was first investigated. Reactions of **12a** proceeded smoothly in the presence of a catalytic amount of AuCl₃ or cationic AuCl₃/AgSbF₆ (entries 1 and 2) but gave an inseparable mixture of dimers **14** and **15**²⁵ with no desired Nazarov cyclization product **13a**. Attempts to suppress the dimerization by lowering the concentration (entry 3) or addition of 4 Å MS (entry 4) proved to be fruitless.

Nevertheless, we were encouraged by dimers 14 and 15 because they imply that the desired imino-Nazarov

Table 2. A Au(I)-Catalyzed Imino-Nazarov Cyclization



^{*a*} Unless noted, all reactions were carried out at 0.1 mmol scale in 2 mL of CH₂Cl₂ (concn = 0.05 M) at rt with the addition of 5 mol % catalyst. ^{*b*} Isolated yields. ^{*c*} The reaction was run at 50 °C in DCE. ^{*d*} No reaction.



reaction had taken place possibly postdimerization through the intermediacy of 14' [addition of 12a to activated-12a] Gratifyingly with AuCl(PPh₃)/AgSbF₆, 13a was obtained for the first time in 50% yield along with the 14/15 mixture in 24% yield. Further optimizations revealed that the best yield was reached when using IPrAuCl/AgSbF₆, leading to 13a in 97% yield (entry 8) with JohnphosAuCl/AgSbF₆ affording 13a in 91% yield. Remarkably, neutral IPrAuCl itself turned out to be completely inactive (entry 9), while AgSbF₆ alone gave 14 and 15, albeit sluggishly (entry 10). Lastly, Pt catalysts were also examined and gave very poor results in this process (entries 11 and 12).

Having established the optimum catalytic system, the scope of the imino-Nazarov process was explored [Table 2]. Notably, for allenamides equipped with more bulky substituents on the nitrogen (*n*-Bu in **12b** and Bn in **12c**), reactions were slower, although yields were still excellent (entries 1 and 2). The structure of **13b** was unambiguously assigned through its X-ray structure [left in Figure 1]. The cyclization of **12d**, bearing a 4-methoxybenzenesulfonyl [MBS] substituent, also worked but at elevated temperature. Lastly, allenamides with different substituents on the aryl



Figure 1. X-ray structures of 13b and 20.

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ring (entries 4–9) also proved to be quite effective overall with exceptions of 2-MeO and 4-Br substituents (entries 5 and 9). In particular, while the reaction of **12f** is very tardy, the cyclization of **12j** was completely attenuated. We are not clear of the precise rationale at this point. However, it is noteworthy that the high level of regioselectivity found in cases of **12e** and **12k** was remarkable. While these two cyclizations appear to favor the less hindered C6-position with no detectable cyclization at the C2-position, this preference is likely due to direct donation from the C3–OR group.

To further investigate the regioselectivity issue, allenamides 16 and 19 were subjected to the cyclization conditions [Scheme 3]. For allenamide 16 with an α -(2-naphthyl) substituent, cyclization took place selectively at C1 [see 17'], affording 17 exclusively in 97% yield. This regioselectivity is also likely an electronic preference given that cyclization at C1 would involve the cyclohexadiene portion of the naphthyl ring, thereby leading to greater cation stabilization: Benzylic stabilization in 17' vs pseudobenzylic stabilization in 18' through cyclization from C3. On the other hand, for allenamide 19 with an α -(1naphthyl) group, a competing 6π -electron cyclization of the reaction intermediate [see 21'] could deliver 1*H*-phenalene 21. However, the reaction exclusively proceeded through the imino-Nazarov cyclization pathway via 20', leading to 20 in excellent yield. The structure of 20 was also confirmed by its single crystal X-ray structure [Figure 1]. It is noteworthy that 17 and 20 are structurally almost identical with the difference residing in the position for the respective enamide motif.





Scheme 5. Synthesis of Indole-Fused Cyclopentenamides



Intrigued by this competition, another reaction with potentially competing imino-Nazarov 4π -electron and 6π electron electrocyclization was examined using allenamide **22** [Scheme 4]. The reaction of **22** led to **25** and **26** as the only discernible products with the latter likely coming from hydrolysis of the initial Nazarov cyclization product **25**. This study suggests that while the cationic imino-Nazarov intermediate could exist in two equilibrating conformations **23** and **24** differing only at the 's'-marked C–C bond [or being *trans*- and *cis*-1-aza-dienes, respectively, shown in green], 6π -electron electrocyclization of 3-aza-triene **24**^{26,27} was also not competitive in this case.

Lastly, while cyclopentenamides are synthetically useful, we recognized that our imino-Nazarov cyclization could provide a concise approach to indole-fused cyclopentenamides [or cyclopenta[*b*]indoles],^{24a} thereby representing an opportunity to develop a new strategy for natural product synthesis.²⁸ Consequently, as shown in Scheme 5, under the optimized conditions, cyclizations of α -indolyl-substituted allenamides **28a** and **28b** proceeded smoothly to afford indole-fused cyclopentenamides **29a** and **29b**, respectively, in good yields.

We have showcased here an imino-Nazarov cyclization using α -aryl-substituted allenamides. This Au(I)-catalyzed cascade represents a successful solution to overcome the challenge in designing an imino-Nazarov cyclization cascade. It is a highly efficient and regioselective transformation in constructing a diverse array of aromatic-ring fused cyclopentenamides. Further mechanistic studies and applications of this cyclization process in total synthesis are currently underway.

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Supporting Information Available. Experimental procedures, NMR spectra, X-ray structural files, and characterizations for all new compounds. This material is available free of charge via the Internet at http://pubs.acs.org.

The authors declare no competing financial interest.

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